

[Name of IO]

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A.2: Coding scheme

Name of IO: [fill out in header]

99: no documentation/ no written rules; 98: not applicable

A. INSTITUTIONAL STRUCTURE

NAME	BODY
	Assembly 1 (A1)
	Assembly 2 (A2)
	Assembly 3 (A3)
	Executive 1 (E1)
	Executive 2 (E2)
	Executive 3 (E3)
	Executive 4 (E4)
	Executive 5 (E5)
	General Secretariat (GS1)
	General Secretariat (GS2)
	Dispute settlement (DS1)
	Dispute settlement (DS2)
	Consultative body 1 (CB1)
	Consultative body 2 (CB2)
	Consultative body 3 (CB3)

[Note: Code each institution separately.]

An IO assembly is a) a plenary body consisting of all member states; b) with a rule making function as supreme legislative authority; that c) is usually responsible for the composition of one or more IO bodies. We code up to four assemblies

An IO executive is a) responsible for the execution of rules (laws); b) with a rule making function within guidelines set by the IO assembly. We code up to three executives.

An IO general secretariat is a) responsible for running the IO's headquarters, keeping records, and representing the IO to the outside world; and b) is also often charged with preparing and implementing decisions, conducting or commissioning background research, and monitoring member state compliance. We code up to two general secretariats.

An IO consultative body has a) some formal status as a recognized body or channel; b) possesses the right to be consulted on an ongoing basis; and c) is composed of non-state actors. We code up to three consultative bodies.

[Name of IO]

1) ASSEMBLY: A1 to A3

<p>I. How are members of the assembly selected?</p> <p>0 All members of the assembly are selected by member states</p> <p>1 A majority, but not all, of the members of the assembly are selected by member states</p> <p>2 At least fifty percent of the members of the assembly are selected by parliaments, subnational governments, or other non-member state actors</p> <p>3 At least fifty percent of the members of the assembly are popularly elected</p>
<p>II. Do members of the assembly directly represent member states?</p> <p>0 All members of the assembly receive voting instructions by their government</p> <p>1 A majority, but not all, members of the assembly receive voting instructions by their government</p> <p>2 Fifty percent or less of the members of the assembly receive voting instructions by their government</p>
<p>III. Is voting weighted?</p> <p>0 No</p> <p>1 Yes</p>
<p>III.a. If yes, what is the basis of weighted voting?</p> <p>If so, what is the basis: population, GDP, geography, financial contribution?</p>

[Name of IO]

2) EXECUTIVE: E1 to E5

IV.a. Who proposes the head of the executive?

	Who decides?															
What is the decision rule?	Member states	Assembly			Executive					Head of Executive	General Secretariat		Other non-state actor*	Rotation	Automatic procedure	No written rule
		1	2	3	1	2	3	4	5		1	2				
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

IV.b. Who appoints the head of the executive?

	Who decides?															
What is the decision rule?	Member states	Assembly			Executive					Head of Executive	General Secretariat		Other non-state actor*	Rotation	Automatic procedure	No written rule
		1	2	3	1	2	3	4	5		1	2				
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

[Name of IO]

V.a. Who proposes the members of the executive?

	Who decides?															
What is the decision rule?	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
	Unanimity/ consensus															
Supermajority																
Majority																
Voting not applicable																
No written rule																

V.b. Who appoints the members of the executive?

	Who decides?															
What is the decision rule?	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
	Unanimity/ consensus															
Supermajority																
Majority																
Voting not applicable																
No written rule																

VI. How are members of the executive selected?

- 0 All members of the executive are selected by member states.
- 1 A majority, but not all, of the members of the executive are selected by member states.
- 2 At least 50 percent of the members of the executive selected by parliaments, subnational governments, or other non-member state actors.

VII. Do member states have full or partial representation?

- 0 All member states are represented in the executive.
- 1 A subset of member states is represented in the executive.

VIII. Do members of the executive directly represent member states?

- 0 All members receive voting instructions from their government.
- 1 Fifty percent or more, but not all, members receive voting instructions from a government.
- 2 Fewer than fifty percent of the members receive voting instructions from a government.

IX. Does representation in the executive deviate from one member, one vote?

IX.a. Is a subset of seats reserved for particular members?

- 0 No
- 1 Yes

If yes, what is the basis: financial contribution, economic interest, geopolitical weight, nuclear capability, host country?

IX.b. Is voting weighted?

- 0 No
- 1 Yes

If yes, what is the basis: population, GDP, geography, financial contribution?

IX.c. Does weighted voting provide some member states with a veto?

- 0 No
- 1 Yes

If yes, which countries can exercise a veto?

[Name of IO]

3) GENERAL SECRETARIAT: GS1 to GS2

X. Who selects the head of the General Secretariat?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XI. Who can remove the head of the General Secretariat?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XII. What is the length of tenure?

Number of years or indeterminate:

XIII. Is there an oath of independence or formal protection of IO bureaucracy impartiality and independence?

- 0 No
1 Yes

[Name of IO]

4) CONSULTATIVE BODIES: CB1 to CB3

XIV. Is there a standing channel or consultative body composed of non-state representatives?

- 0 No channel/consultative body
- 1 One channel/consultative body
- 2 More than one channel/consultative body

XV.a. CB1 [name]:

- 1 Private representatives (e.g. business, trade unions, social movements, professional experts)
- 2 A combination of private representatives and public non-state representatives
- 3 Public non-state representatives selected by national or subnational assemblies
- 4 Public non-state representatives who are directly elected

XV.b. CB2 [name]:

- 1 Private representatives (e.g. business, trade unions, social movements, professional experts)
- 2 A combination of private representatives and public non-state representatives
- 3 Public non-state representatives selected by national or subnational assemblies
- 4 Public non-state representatives who are directly elected

XV.c. CB3 [name]:

- 1 Private representatives (e.g. business, trade unions, social movements, professional experts)
- 2 A combination of private representatives and public non-state representatives
- 3 Public non-state representatives selected by national or subnational assemblies
- 4 Public non-state representatives who are directly elected

B. DECISION MAKING

MEMBERSHIP: ACCESSION

XVI.a. Who can initiate the accession of new members?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XVI.b. Who makes the final decision on the accession of new members?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XVII. Is ratification on accession by existing member states required?

- | | |
|---|--|
| 0 | Every member state must ratify accession for it to come into effect. |
| 1 | Ratification by a subset of member states is required for accession to come into effect. |
| 2 | Ratification is not required for accession to come into effect. |

[Name of IO]

MEMBERSHIP: SUSPENSION

XVI.a. Who can initiate the suspension of a member state?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XVI.b. Who makes the final decision on the suspension of a member state?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

[Name of IO]

CONSTITUTIONAL REFORM

XIX.a. Who can initiate constitutional reform?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XIX.b. Who makes the final decision on constitutional reform?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XX. Is ratification of constitutional reform required?

- 0 Every member state must ratify the constitutional reform for it to come into effect.
- 1 The constitutional reform comes into effect only for those member states that ratify.
- 2 Ratification by a subset of member states is required for the constitutional reform to come into effect for all member states.
- 3 Ratification is not required for the constitutional reform to come into effect.

FINANCIAL DECISION MAKING

XXI. Does the IO have independent revenue?

0 IO revenue consists of ad hoc or discretionary member state financing.

1 IO revenue consists of routinized, non-discretionary member state contributions (e.g. tied to GDP per capita)

2 IO revenue consists of routinized, non-discretionary member state contributions and the IO has own resources amounting to at least one quarter of its budget raised beyond the control of its member states (e.g. donations, grants, taxes, fees, bonds).

XXII.a. Who drafts the budget?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XXII.b. Who makes the final decision on the budget?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

[Name of IO]

XXIII. Is budgetary decision making binding?

- 0 Budgetary decision making is not binding.
- 1 Budgetary decision making is binding unless a member state opts out of a program or financial commitment.
- 2 Budgetary decision making is binding.

XXIV.a. Who can initiate proceedings on financial compliance?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XXIV.b. Who makes the final decision on financial compliance?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

[Name of IO]

POLICY MAKING: POLICY 1 TO POLICY 5

- passing protocols or conventions;
- passing recommendations or declarations;
- passing laws, regulations, decisions, directives;
- designing, selecting, or running programs/ projects; funding programs/ projects;
- monitoring standards or practices

Please discuss briefly in the profile which levels of policy making there are and which of these seems most appropriate to code. If in doubt coding additional policy streams.]

XXV.a. Who can initiate policy?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XXV.b. Who makes the final decision on policy?

	Who decides?															
	Member states	Assembly 1	Assembly 2	Assembly 3	Executive 1	Executive 2	Executive 3	Executive 4	Executive 5	Head of Executive	General Secretariat 1	General Secretariat 2	Other non-state actor*	Rotation	Automatic procedure	No written rule
What is the decision rule?																
Unanimity/ consensus																
Supermajority																
Majority																
Voting not applicable																
No written rule																

XXV.c. What is the role of the general secretariat in initiating policy?

- 0 The general secretariat has no formal role in initiating policy.
- 1 The general secretariat has a formal role in initiating policy, but does not monopolize agenda setting.
- 2 The general secretariat has a formal monopoly of initiative or is the only body with a formal role in agenda setting.

XXVI. Are policy decisions binding?

- 0 Policy decisions are not binding.
- 1 Policy decisions are binding unless a member state explicitly opts out.
- 2 Policy decisions are binding.

XXVII. Is ratification of policy required?

- 0 Every member state must ratify the policy for it to come into effect.
- 1 The policy comes into effect only for those member states that ratify.
- 2 Ratification by a subset of member states is required for the policy to come into effect for all member states.
- 3 Ratification is not required for the policy to come into effect.

DISPUTE SETTLEMENT: DS1 to DS2

XXVIII. Is the dispute settlement system obligatory?	
0	There is no dispute settlement.
1	The dispute settlement system is not obligatory; member states can opt out.
2	The dispute settlement system is obligatory; member states cannot opt out.
XXIX. Is there an explicit right to third-party review of disputes concerning member state compliance?	
0	There is no right to third-party review.
1	Access to third-party review is controlled by a political body.
2	There is an automatic right if third-party review.
XXX. How is the tribunal composed?	
0	There is no tribunal.
1	The tribunal is composed of ad hoc arbitrators.
2	The tribunal has a standing body of justices who rule collectively on all disputes during extended terms of service.
XXXI. Is adjudication binding?	
0	Adjudication is not binding.
1	Adjudication is binding if there is ex ante agreement among disputing parties or if approved post hoc by a political body.
2	Adjudication is directly binding.
XXXII. Do non-state actors have legal standing?	
0	Only member states can initiate dispute resolution.
1	The international secretariat (or other IO body) can initiate dispute resolution.
2	Non-state actors as well as state actors can initiate dispute resolution.
XXXIII. Is there a remedy for non-compliance to the ruling?	
0	There is no remedy for non-compliance.
1	The remedy for non-compliance is retaliatory sanctions.
2	Court rulings have direct effect.
XXXIV. Is there a preliminary ruling system of national court referrals?	
0	There is no preliminary ruling system.
1	There is a preliminary ruling system, but no national court is required to ask for a ruling.
2	There is a preliminary ruling system and some national courts are required to ask for a ruling.